SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

N	OMB APPROVAL						
	OMB Number:	3235-0287					
	Expires:	_					
ublic Utility	Estimated average burden	1					
40	hours per response:	0.5					

1. Name and Address of Reporting Person* ATHOE MAUREEN MARY			2. Issuer Name and Ticker or Trading Symbol MOOG INC [MOGA/MOGB]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner
(Last) SENECA ST AND	(First) JAMISON RD	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 12/02/2020	X Officer (give title Other (specify below) below) Vice President
(Street) EAST AURORA (City)	NY (State)	14052 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person
			1	

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (I 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Following Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)	(Instr. 4)
Class A Common								2,896	D	
Class B Common	12/02/2020		A ⁽¹⁾		788	A ⁽¹⁾	\$0 ⁽¹⁾	4,642	D	
Class B Common								356	Ι	by IRA
Class B Common ⁽²⁾								1	Ι	401 (k)

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transad Code (I 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		erivative ecurities cquired (A) Disposed of (Instr. 3, 4		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

SAR ⁽³⁾	\$36.41			(4)	11/27/2022	Class A Common	4,000	4,000	D	
SAR ⁽³⁾	\$61.69			(4)	11/11/2023	Class A Common	2,000	2,000	D	
SAR ⁽³⁾	\$74.38			(4)	11/11/2024	Class A Common	2,000	2,000	D	
SAR ⁽⁵⁾	\$63.04			(4)	11/17/2025	Class A Common	3,333	3,333	D	
SAR ⁽⁵⁾	\$65.90			(4)	11/17/2025	Class B Common	6,667	6,667	D	
SAR ⁽⁵⁾	\$71.648			(4)	11/15/2026	Class B Common	10,000	10,000	D	
SAR ⁽⁵⁾	\$82.31			(4)	11/14/2027	Class B Common	6,181	6,181	D	
SAR ⁽⁵⁾	\$80.19			(4)	11/13/2028	Class B Common	6,988	6,988	D	
SAR ⁽⁵⁾	\$85.95			(4)	11/12/2029	Class B Common	6,794	6,794	D	
SAR ⁽⁵⁾	\$73.39			(4)	11/17/2030	Class B Common	4,452	4,452	D	

Explanation of Responses:

1. Stock award received under the Moog Inc. 2014 Long Term Incentive Plan based upon achievement under the Short Term Incentive Plan for fiscal year 2020.

2. Reflects equivalent shares held in Moog Inc. Retirement Savings Plan as of the most recent statement.

3. Stock Appreciation Right (SAR) granted under the Moog Inc. 2008 Stock Appreciation Rights Plan.

4. SARs become exercisable ratably over three years beginning on the first anniversary from the date of grant.

5. Stock Appreciation Rights (SAR) granted under the Moog Inc. 2014 Long Term Incentive Plan.

/s/ Christopher P. Donnini, as Power of Attorney for Maureen Mary Athoe 12/04/2020

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.