SEC Form 4

FORM 4

Check this box if no longer subject to

Section 16. Form 4 or Form 5 obligations may continue. See

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan

Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

for the securit intended defense	purchase or sale of ties of the issuer that ed to satisfy the affirm se conditions of Rule struction 10.	equity is native											
ATHOE MAUREEN MARY (Last) (First) (Middle)				Name and Ticker of GINC [MOG of Earliest Transaction 2023	A/MOGB]			5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner X Officer (give title below) Other (specify below) Vice President					
(Street) EAST AURORA (City)	NY (State)	14052 (Zip)	-	endment, Date of Or		,		6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person Beneficially Owned					
1. Title of Sec	urity (Instr. 3)	Table 1- IN	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date,	3. 4. Sec Transaction or Dis Code (Instr. 3, 4 ar			ies Acqu sed Of (D	ıired (A)	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
						Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Class B Con	nmon		11/16/2023		A (1)		282	A	\$ 0.00	9,183	D		
Class B Con	nmon			11/16/2023		F (2)		102	D	\$ 126.20	9,081	D	

1. Title of Security (Instr. 3)					Transaction ate lonth/Day/Year)		Execution Date,		Code (Instr.		4. Securities Acquired (or Disposed Of (D) (Inst 3, 4 and 5)			Secur Benef Owne	eficially ed Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
							Code V		Amount (A) or (D)			action(s) 3 and 4)		(Instr. 4)			
Class B Co	1	11/17/2023				A (3)		273	A	\$ 0.0	00	9,354	D				
Class B Common					11/17/2023				F (4)		99	D	\$ 126.2	20	9,255	D	
Class A Co												6,268	D				
Class B Co												356	I	by IRA			
Class B Co	ommon (5)														1	I	401 (k)
		7	Table II - Deriv (e.g.,					quired, Dis						ned			T
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Numl of Deriv Secu Acqu (A) of Dispo of (D)	(Month/Day/\) rative rities iired rosed) 7. 3, 4		ate		7. Title and Amour of Securities Underlying Derivative Security (Instr. 3 and 4)		1	3. Price of Derivative Security Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
						and 5	5)										
				Code	v	and 5	(D)	Date Exercisable	Expira Dat		Title	Nun	of				
SAR ⁽⁶⁾	\$ 71.648			Code	v					e	Class I	Nun Sha	or nber of		10,000	D	

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
SAR (6)	\$ 80.19							(7)	11/13/2028	Class B Common	6,988		6,988	D	
SAR (6)	\$ 85.95							(7)	11/12/2029	Class B Common	6,794		6,794	D	
SAR (6)	\$ 73.39							(7)	11/17/2030	Class B Common	4,452		4,452	D	
SAR (6)	\$ 83.00							(7)	11/16/2031	Class B Common	3,737		3,737	D	

Explanation of Responses:

- 1. Represents the Class B shares received by the reporting person upon the vesting of the second fixed dollar tranche of the fixed dollar-denominated time vesting award ("TVA") received by the reporting person on November 16, 2021. The TVA vests in three equal fixed dollar tranches and can be settled into Class B shares using the fair market value of the Class B shares on the date of vesting of such tranche.
- 2. The Company withheld Class B shares to satisfy the tax withholding obligation for the tranche of the reporting person's TVA that vested on November 16, 2023.
- 3. Represents the Class B shares received by the reporting person upon the vesting of the third fixed dollar tranche of the fixed dollar-denominated time vesting award ("TVA") received by the reporting person on November 17, 2020. The TVA vests in three equal fixed dollar tranches and can be settled into Class B shares using the fair market value of the Class B shares on the date of vesting of such tranche.
- 4. The Company withheld Class B shares to satisfy the tax withholding obligation for the tranche of the reporting person's TVA that vested on November 17, 2023.
- 5. Reflects equivalent shares held in Moog Inc. Retirement Savings Plan as of the most recent report to participants.
- 6. Stock Appreciation Rights (SAR) granted under the Moog Inc. 2014 Long Term Incentive Plan.
- 7. SARs become exercisable ratably over three years beginning on the first anniversary from the date of grant.

Remarks:

/s/ Christopher A. Head, as Power of Attorney for Maureen 11/20/2023 Mary Athoe

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

^{**} Signature of Reporting Person

^{*} If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

^{**} Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).