SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVA

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c) See Instruction 10.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Add	·			2. Issuer Name and Ticker or Trading Symbol MOOG INC [MOGA/MOGB]						Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner								
(Last) (First) (Middle) SENECA ST & JAMISON RD				3. Date of Earliest Transaction (Month/Day/Year) 11/14/2023						X Officer (give title below) Other (specify be Vice President								
(Street)			4. If Ame	4. If Amendment, Date of Original Filed (Month/Day/Year)					6. Individual or Joint/Group Filing (Check Applicable Line)									
East Aurora	NY	14052		_							X Form filed by One Reporting Person Form filed by More than One Reporting Person							
(City)	(State)	(Zip)	_															
		Table I -	Non-Deriv	ative Securitie	es Acquired, D	ispos	sed o	of, or Be	enefici	ally Ow	ned							
1. Title of Security (Instr. 3)				2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (I 8)		4. Securit or Dispos 3, 4 and 5	ed Of (D		5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership					
						Code	٧	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)					
Class B Comm	non			11/14/2023		A (1)		338	A (1)	\$ 0.00 (2)	1,287 (3)	D						
Class B Comn	non			11/14/2023		_F (4)		122	D	\$ 126.20	1,165	D						

126.20

		ıaı	ole I - Non-Der	ivaliv	e 3e	Juriu	es P	cquirea, i	Jisposea C	or ber	enciai	y Ow	ieu			1
1. Title of Security (Instr. 3)					2. Transaction Date (Month/Day/Year)			Deemed cution Date, ny nth/Day/Year)	Code (Instr.	4. Securitie or Dispose 3, 4 and 5)		Securi Benefi Owned	ities icially d Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
									Code V	Amount	A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)			
Class B Common ⁽⁵⁾														942	I	401(k)
		Т	able II - Deriva (e.g.,						sposed of, , convertib			Owne	d			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title am of Securiti Underlying Derivative (Instr. 3 ar	es J Security	Deriv Secu	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share	er				
SAR (6)	\$ 82.31							(7)	11/14/2027	Class B Common	1 806			806	D	
SAR (6)	\$ 80.19							(7)	11/13/2028	Class B Common	1 1 7/4	l		1,741	D	
SAR (6)	\$ 85.95							(7)	11/12/2029	Class B Common	1 1 / 30	5		1,736	D	
SAR (6)	\$ 73.39							(7)	11/17/2030	Class B Common	1 1 089)		1,089	D	
SAR (6)	\$ 83.00							(7)	11/16/2031	Class B	992			992	D	

Explanation of Responses:

- 1. Shares issued pursuant to vesting of performance-based restricted stock units that were granted to the reporting person on November 17, 2020, upon achievement of performance goals under the Moog Inc. 2014 Long Term Incentive Plan.
- 2. The performance-based restricted stock units were granted under an equity incentive compensation plan maintained by Moog Inc. and therefore the reporting person paid no price for the shares received upon the vesting of the performance-based restricted stock units.
- 3. Includes 59 shares of Class B Common acquired under the Moog Inc. Employee Stock Purchase Plan on July 1, 2023.
- 4. Shares withheld for taxes upon the settlement in shares of performance-based restricted stock units previously granted to the reporting person.
- 5. Reflects equivalent shares held in Moog Inc. Retirement Savings Plan as of the most recent report to participants.
- 6. Stock Appreciation Rights (SAR) granted under the Moog Inc. 2014 Long Term Incentive Plan.
- 7. SARs become exercisable ratably over three years beginning on the first anniversary from the date of grant.

Remarks:

/s/ Christopher A. Head, as Power of Attorney for Mark D. 11/16/2023 Graczyk

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.