SEC Form 4

FORM	4
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UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility

continue. Se	e Instruction 1(b	b). Holdir	ng Company Act of 1935 or Section 30(h) of the Investment Company Ac	xt of 1940	hours per re	sponse:	0.5
1. Name and Addr ROCHE PATRICK	1 0	Person [*]	2. Issuer Name and Ticker or Trading Symbol MOOG INC [MOGA/MOGB]	5. Relationship (Check all appli Director	licable)	of Reporting Person(s) to Issuer able) 10% Owner	
(Last) SENECA ST & JAM	(First) IISON RD	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 05/27/2021		(give title	Other (spec below)	-
(Street) EAST AURORA	NY	14052	4. If Amendment, Date of Original Filed (Month/Day/Year)	Line) X Form fil	Joint/Group Filing led by One Repor led by More than	ting Person	
(City)	(State)	(Zip) Table	I - Non-Derivative Securities Acquired, Disposed of, or Beneficially				

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (I 8)		4. Securities Disposed Of 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)		
Class A Common	05/27/2021		М		4,000	Α	\$41.82	9,356	D		
Class A Common	05/27/2021		F		1,941 (1)	D	\$86.20	7,415	D		
Class A Common	05/27/2021		S		790	D	\$89.539	6,625	D		
Class A Common	05/27/2021		S		200	D	\$89.24	6,425	D		
Class B Common								6,067	D		
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)											

OMB APPROVAL	
OMB Number:	3235-0287
Expires:	_
Estimated average burder	Ì
hours per response:	0.5

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (In 8)		Derivative		Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
SAR ⁽²⁾	\$41.82	05/27/2021		М			4,000	(3)	11/30/2021	Class A Common	4,000	\$0	0	D	
SAR ⁽²⁾	\$36.41							(3)	11/27/2022	Class A Common	20,500		20,500	D	
SAR ⁽²⁾	\$61.69							(3)	11/11/2023	Class A Common	10,000		10,000	D	
SAR ⁽²⁾	\$74.38							(3)	11/11/2024	Class A Common	10,000		10,000	D	
SAR ⁽⁴⁾	\$63.04							(3)	11/17/2025	Class A Common	3,333		3,333	D	
SAR ⁽⁴⁾	\$65.90							(3)	11/17/2025	Class B Common	6,667		6,667	D	
SAR ⁽⁴⁾	\$71.648							(3)	11/15/2026	Class B Common	10,000		10,000	D	
SAR ⁽⁴⁾	\$82.31							(3)	11/14/2027	Class B Common	6,181		6,181	D	
SAR ⁽⁴⁾	\$80.19							(3)	11/13/2028	Class B Common	6,988		6,988	D	
SAR ⁽⁴⁾	\$85.95							(3)	11/12/2029	Class B Common	6,794		6,794	D	
SAR ⁽⁴⁾	\$73.39							(3)	11/17/2030	Class B Common	4,452		4,452	D	

Explanation of Responses:

1. This represents the difference between the number of SARs exercised (4,000) and the number of shares issued as a result of the exercise (2,059). The number of shares to be issued under a SAR exercise is determined by multiplying the number of SARs being exercised by the difference between the FMV on the date of exercise (\$86.20) and the exercise price (\$41.82).

2. Stock Appreciation Rights (SAR) granted under the 2008 Stock Option Plan.

3. SARs become exercisable ratably over three years beginning on the first anniversary from the date of grant.

4. Stock Appreciation Rights (SAR) granted under the Moog Inc. 2014 Long Term Incentive Plan.

/s/ Christopher P. Donnini, as Power of Attorney for Patrick J. Roche

06/01/2021

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.