SEC Form 4

Class B Common

Class B Common

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

10,419

10,083

Transaction(s)

(Instr. 3 and 4)

Reported

(A) or

A

D

Price

\$ 0.00

\$

126.20

Amount

661

336

D

D

(Instr. 4)

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c) See Instruction 10.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and A	ddress of Reportin	ng Person [*]	2. Issuer	Name and Ticker o	r Trading Symbol			5. Relationship of Reporting Person(s) to Issuer						
ROCHE P	ATRICK J		MOO	G INC [MOG	A/MOGB]		(Ch	ck all applicab X Director	10% Owne	10% Owner				
(Last)	(First)	(Middle)	3. Date o	f Earliest Transaction	on (Month/Day/Year	-)		X Officer (s	give title	Other (specify below)				
SENECA ST	& JAMISON	RD						Chief Executi	ve Officer					
(Street)			4. If Ame	ndment, Date of Ori	ginal Filed (Month/I	Day/Year)	6. Ir	6. Individual or Joint/Group Filing (Check Applicable Line)						
EAST AURORA	NY	14052							ed by One Reporting I ed by More than One		n			
(City)	(State)	(Zip)	_											
		Table I -	Non-Deriva	ative Securitie	s Acquired, D	Disposed o	of, or Ben	eficially Ov	vned					
1. Title of Security (Instr. 3)				Date	2A. Deemed Execution Date, if any (Month/Day/Year)			Acquired (A) Of (D) (Instr.	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficia Ownershi			

11/16/2023

11/16/2023

Code

A (1)

_E (2)

1. Title of Security (Instr. 3)						2. Transaction Date (Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)		es Acqu ed Of (D)		Secur Benef	eficially ed Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
								Code	٧	Amount	(A) or (D)	Price	Trans	action(s) 3 and 4)		(Instr. 4)	
Class B Co	1	11/17/2023				A (3)		273	A	\$ 0.00) 1	0,356	D				
Class B Common						11/17/2023			F (4)		137	D	\$ 126.20) 1	0,219	D	
Class A Common														1	5,019	D	
Class B Co											332	I	401 (k)				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)		Transaction Code (Instr. B) Dei See Act (A) Dis of (vative rities rired r osed) r. 3, 4	6. Date Exerc Expiration Da (Month/Day/Y	ear) of Ui		of Securit Underlyin Derivative	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		Price of erivative ecurity istr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expira Dat		Title	Nun	r nber if				
SAR (6)	\$ 74.38							(7)	11/11/	2024	Class A	1 100	000		10,000	D	
(0)	\$ 63.04							(7)	11/17/	 2025	Class A	1 4 4	33		3,333	D	
SAR (8)	Φ 03.04								11/1//	2020	Commo	on 5,5			3,333		

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
SAR (8)	\$ 71.648							(7)	11/15/2026	Class B Common	10,000		10,000	D	
SAR (8)	\$ 82.31							(7)	11/14/2027	Class B Common	6,181		6,181	D	
SAR (8)	\$ 80.19							(7)	11/13/2028	Class B Common	6,988		6,988	D	
SAR (8)	\$ 85.95							(7)	11/12/2029	Class B Common	6,794		6,794	D	
SAR (8)	\$ 73.39							(7)	11/17/2030	Class B Common	4,452		4,452	D	
SAR (8)	\$ 83.00							(7)	11/16/2031	Class B Common	8,757		8,757	D	

Explanation of Responses:

^{1.} Represents the Class B shares received by the reporting person upon the vesting of the second fixed dollar tranche of the fixed dollar-denominated time vesting award ("TVA") received by the reporting person on November 16, 2021. The TVA vests in three equal fixed dollar tranches and can be settled into Class B shares using the fair market value of the Class B shares on the date of vesting of such tranche.

^{2.} The Company withheld Class B shares to satisfy the tax withholding obligation for the tranche of the reporting person's TVA that vested on November 16, 2023.

^{3.} Represents the Class B shares received by the reporting person upon the vesting of the third fixed dollar tranche of the fixed dollar-denominated time vesting award ("TVA") received by the reporting person on November 17, 2020. The TVA vests in three equal fixed dollar tranches and can be settled into Class B shares using the fair market value of the Class B shares on the date of vesting of such tranches.

- 4. The Company withheld Class B shares to satisfy the tax withholding obligation for the tranche of the reporting person's TVA that vested on November 17, 2023.
- 5. Reflects equivalent shares held in Moog Inc. Retirement Savings Plan as of the most recent report to participants.
- 6. Stock Appreciation Rights (SAR) granted under the Moog Inc. 2008 Stock Appreciation Rights Plan.
- 7. SARs become exercisable ratably over three years beginning on the first anniversary from the date of grant.
- 8. Stock Appreciation Rights (SAR) granted under the Moog Inc. 2014 Long Term Incentive Plan.

Remarks:

/s/ Christopher A. Head, as
Power of Attorney for Patrick 11/20/2023

J. Roche

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

^{*} If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

^{**} Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).