SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c) See Instruction 10.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and A	ddress of Reportin	g Person [*]		Name and Ticker of	• •					nship of Re I applicable	eporting Person(s) to	Issuer	
SCANNEI	LL JOHN		MOO	G INC [MOG	A/MOGB J				X	Director		10% Owner	
(Last)	(First)	(Middle)	1	3. Date of Earliest Transaction (Month/Day/Year) 11/14/2023							ve title	Other (spec	ify below)
SENECA ST	Γ& JAMISON	RD											
(Street)			4. If Ame	endment, Date of Or	iginal Filed (Month/I	Day/Yea	r)	6.	. Individu	ual or Joint	Group Filing (Check	Applicable Line)
EAST AURORA	NY	14052							X		I by One Reporting F I by More than One I		า
(City)	(State)	(Zip)	-										
		Table I - No	on-Deriv	ative Securitie	es Acquired, D	Dispos	sed o	of, or Be	nefici	ially Ow	ned		
1. Title of Security (Instr. 3)				2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)			osed Of (D) (Instr. Securities Form: Direct (D) or Indirect (D) or Indirect (VI) (Instr. 4)				7. Nature of Indirect Beneficial Ownership
						Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Class B Com	nmon			11/14/2023		A		1,013 (1)	A	\$ 0.00	20,348	D	

1. Title of Security (Instr. 3)					. Transaction late Month/Day/Year)		te Execution Da		ution Date,	Code (Instr.		4. Securiti or Dispos 3, 4 and 5	ed Of (D		5. Amount of Securities Beneficially Owned Following		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
								-		v	Amount (A) or (D) Pri		Price		action(s) 3 and 4)			
Class B Common				1	11/14/2023				A (2)		7,870	A (2)	\$ 0.00 (3)	2	28,218	D		
Class B Co	ommon			1	1/14/20	023			_F (4)		4,018	D	\$ 126.20	2	24,200	D		
Class A Co	ommon													4	15,073	D		
Class A Co	ommon													4	11,146	I	Spouse	
Class B Common ⁽⁵⁾															3,514	I	401 (k)	
1. Title of Derivative Security	2. Conversion	3. Transaction	3A. Deemed	, puts, calls 4. Transaction Code (Instr. 8)		warr	rants,	, options,	, conv	ertic	ie secu	rities)						
	or Exercise Price of Derivative Security	Date (Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Transa Code	(Instr.	5. Numb of Deriva Securi Acquii (A) or Dispos of (D) (Instr. and 5)	er (I ative ities red sed	6. Date Exerci Expiration Da (Month/Day/Y	te		7. Title ar of Securi Underlyin Derivativ (Instr. 3 a	nd Amou ties ng e Securi and 4)	nnt 8. De Se ty (In	Price of rivative curity str. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction((Instr. 4)	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirec Beneficial Ownershi (Instr. 4)	
	Price of Derivative		if any	Transa Code	(Instr.	Numb of Deriva Securi Acquii (A) or Dispos of (D) (Instr. and 5)	er E (I	Expiration Da	te	nd	7. Title ar of Securi Underlyir Derivativ	Ame	int 8. De	rivative curity	derivative Securities Beneficially Owned Following Reported Transaction(Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	of Indirect Beneficia Ownersh	
(Instr. 3) SAR (6)	Price of Derivative		if any	Transa Code 8)	(Instr.	Numb of Deriva Securi Acquii (A) or Dispos of (D) (Instr. and 5)	er E (I	Expiration Da (Month/Day/Y Date Exercisable	te ear)	ntion re	7. Title ar of Securi Underlyir Derivativ (Instr. 3 a	Amounties ng e Securind 4) Amounties ng e Securind 4)	ount or	rivative curity	derivative Securities Beneficially Owned Following Reported Transaction(Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	of Indirec Beneficia Ownershi	

Security or (Instr. 3) Pr		3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any	puts, calls, 4. Transaction Code (Instr.				6. Date Exerc Expiration D (Month/Day/	cisable and ate	7. Title and of Securities Underlying Derivative S (Instr. 3 and	Amount s ecurity	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
SAR (8)	\$ 65.90							(7)	11/17/2025	Class B Common	10,000		10,000	D	
SAR (8)	\$ 71.648							(7)	11/15/2026	Class B Common	20,000		20,000	D	
SAR (8)	\$ 82.31							(7)	11/14/2027	Class B Common	18,543		18,543	D	
SAR (8)	\$ 80.19							(7)	11/13/2028	Class B Common	27,949		27,949	D	
SAR (8)	\$ 85.95							(7)	11/12/2029	Class B Common	33,969		33,969	D	
SAR (8)	\$ 73.39							(7)	11/17/2030	Class B Common	25,130		25,130	D	
SAR (8)	\$ 83.00							(7)	11/16/2031	Class B Common	23,352		23,352	D	

Explanation of Responses:

^{1.} Stock bonus of Class B common stock granted under the Moog Inc. 2014 Long Term Incentive Plan.

^{2.} Shares issued pursuant to vesting of performance-based restricted stock units that were granted to the reporting person on November 17, 2020, upon achievement of performance goals under the Moog Inc. 2014 Long Term Incentive Plan.

- 3. The performance-based restricted stock units were granted under an equity incentive compensation plan maintained by Moog Inc. and therefore the reporting person paid no price for the shares received upon the vesting of the performance-based restricted stock units.
- 4. Shares withheld for taxes upon the settlement in shares of performance-based restricted stock units previously granted to the reporting person.
- 5. Reflects equivalent shares held in Moog Inc. Retirement Savings Plan as of the most recent report to participants.
- 6. Stock Appreciation Rights (SAR) granted under the Moog Inc. 2008 Stock Appreciation Rights Plan.
- 7. SARs become exercisable ratably over three years beginning on the first anniversary from the date of grant.
- 8. Stock Appreciation Rights (SAR) granted under the Moog Inc. 2014 Long Term Incentive Plan.

Remarks:

/s/ Christopher A. Head, as Power of Attorney for John R. 11/16/2023 Scannell

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.