SEC Form 4

SAR (2)

SAR ⁽²⁾

\$85.95

\$73.39

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB APPROVAL

3235-0287

OMB Number:

Expires:

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Check this box if no longer subject to Section 16. Form 4

or Form 5 obli continue. See	ion 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the pany Act of 1935 or Section 30(h) of the Investment Company Act of								ublic Utility 10		ed average b er response:					
1. Name and Address of Reporting Person [*] SWOPE MICHAEL J				2. Issue MOOG	2. Issuer Name and Ticker or Trading Symbol MOOG INC [MOGA/MOGB]							5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner				
(Last) SENECA ST & JAMI	ast) (First) (Middle) ENECA ST & JAMISON RD					3. Date of Earliest Transaction (Month/Day/Year) 12/02/2020							X Officer (give title Other (specify below) below) Controller			
Street) EAST AURORA NY 14052 City) (State) (Zip)					4. If Am	4. If Amendment, Date of Original Filed (Month/Day/Year)							 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person 			
		<u>(۲</u> ۱۲		Table I - No	 n-Derivativ	e Securitie	s Acqu	ıired,	Dispo	sed of, o	r Beneficia	Illy Owne				
1. Title of Security (Instr. 3)				2. Transaction Date (Month/Day/Yea	zA. Deemad Execution Date, if any (Month/Day/Year)		Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 ar 5)			5. Amount of Securities Beneficially Owned Following	For (D) Ind	Ownership rm: Direct) or lirect (I) str. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
						Code	v	A	mount	(A) or (D)	Price	Reported Transaction((Instr. 3 and	s)	,		
Class B Common					12/02/2020)	A ⁽¹⁾			172	A ⁽¹⁾	\$0 ⁽¹⁾	511		D	
Class B Common													87		Ι	401 (k)
					Derivative (e.g., puts,							y Owned				
1. Title of Derivat Security (Instr. 3		3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Ins 8)	on Deri str. Sec Acq or D (D) (6. Date Exercisable a Expiration Day (Month/Day/)		ate Securities Underlying			8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
												Amour or Numbe				

Code

V

(A)

(D)

Date Exercisable

(3)

(3)

Expiration Date

11/12/2029

11/17/2030

Title

Class B Common

Class B Common

of Shares

868

545

868

545

D

D

Explanation of Responses:

- 1. Stock award received under the Moog Inc. 2014 Long Term Incentive Plan based upon achievement under the Short Term Incentive Plan for fiscal year 2020.
- 2. Stock Appreciation Rights (SAR) granted under the Moog Inc. 2014 Long Term Incentive Plan.
- 3. SARs become exercisable ratably over three years beginning on the first anniversary from the date of grant.

/s/ Christopher P. Donnini, as Power of Attorney for Michael J. Swope <u>12/04/2020</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.