SEC Form 4

FORM 4

Check this box if no longer subject to

Section 16. Form 4 or Form 5 obligations may continue. See

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is

Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person				Name and Ticker of TNC MOG	0 ,				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)							
WALTER	<u>JENNIFER</u>								Director	10% Owner	10% Owner					
(Last) SENECA ST	(First) Γ & JAMISON	(Middle)		3. Date of Earliest Transaction (Month/Day/Year) 11/14/2023							X Officer (give title below) Other (
(Street)			4. If Ame	endment, Date of Or	iginal Filed (Month/l	r)	6.	6. Individual or Joint/Group Filing (Check Applicable Line)								
EAST AURORA	NY	14052									X Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City)	(State)	(Zip)	_													
		Table I -	Non-Deriv	ative Securitie	es Acquired, [Dispos	sed c	of, or Be	nefici	ally Ow	ned					
1. Title of Secu	ırity (Instr. 3)		2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	ction nstr.	4. Securiti or Dispos 3, 4 and 5	ed Of (D		5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership					
						Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)			
						(1)			Α	\$ 0.00	(2)	_				
Class B Com	nmon			11/14/2023		A (1)		2,384	(1)	(2)	7,848 ⁽³⁾	D				

1. Title of Security (Instr. 3)			Da	2. Transaction Date (Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)		4. Securities Acquired or Disposed Of (D) (Ins 3, 4 and 5)			Securi Benefi Owned	icially d Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
									Code	v	Amount	(A) or (D)	Pric		ted action(s) 3 and 4)		(Instr. 4)
Class A Common														3,835		D	
Class B Common (5)															762	I	401 (k)
		7	able II - Deriva					quired, Dis						vned			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any	4. Trans	4. Transaction Code (Instr.		ative rities ired rosed	6. Date Exerc Expiration Da (Month/Day/Y	cisable and ate		7. Title and Amou of Securities Underlying Derivative Securit (Instr. 3 and 4)		ınt	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expira Dat		Title	Nur	ount or nber of ares				
SAR (6)	\$ 74.38							(7)	11/11/	2024	Class A	- 1 / 1	000		2,000	D	
SAR ⁽⁸⁾	\$ 63.04							(7)	11/17/	2025	Class A	1 6	67		667	D	
SAR ⁽⁸⁾	\$ 65.90							(7)	11/17/	2025	Class I Commo		333		1,333	D	
SAR (8)	\$ 71.648							(7)	11/15/	2026	Class I Commo	1 7 (000		2,000	D	
SAR ⁽⁸⁾	\$ 82.31							(7)	11/14/	2027	Class I	1 1 6	511		1,611	D	

		7	Γable II - Deriv (e.g.,						sposed of, s, convertib			wned			
Derivative C Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exer Expiration D (Month/Day/	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
SAR (8)	\$ 80.19							(7)	11/13/2028	Class B Common	1,741		1,741	D	
SAR (8)	\$ 85.95							(7)	11/12/2029	Class B Common	11,323		11,323	D	
SAR (8)	\$ 73.39							(7)	11/17/2030	Class B Common	7,611		7,611	D	
SAR (8)	\$ 83.00							(7)	11/16/2031	Class B Common	6,539		6,539	D	

Explanation of Responses:

- 1. Shares issued pursuant to vesting of performance-based restricted stock units that were granted to the reporting person on November 17, 2020, upon achievement of performance goals under the Moog Inc. 2014 Long Term Incentive Plan.
- 2. The performance-based restricted stock units were granted under an equity incentive compensation plan maintained by Moog Inc. and therefore the reporting person paid no price for the shares received upon the vesting of the performance-based restricted stock units.
- 3. Includes 144 shares of Class B Common acquired under the Moog Inc. Employee Stock Purchase Plan on July 1, 2023.
- 4. Shares withheld for taxes upon the settlement in shares of performance-based restricted stock units previously granted to the reporting person.
- 5. Reflects equivalent shares held in Moog Inc. Retirement Savings Plan as of the most recent report to participants.
- 6. Stock Appreciation Rights (SAR) granted under the Moog Inc. 2008 Stock Appreciation Rights Plan.
- 7. SARs become exercisable ratably over three years beginning on the first anniversary from the date of grant.

8. Stock Appreciation Rights (SAR) granted under the Moog Inc. 2014 Long Term Incentive Plan.

Remarks:

/s/ Christopher A. Head, as Power of Attorney for Jennifer 11/16/2023 Walter

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.