# SEC Form 4

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

# **OMB APPROVAL**

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#### Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c)

See Instruction 10.

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

MOC				2. Issuer Name <b>and</b> Ticker or Trading Symbol  MOOG INC [ MOGA/MOGB ]						Relationship of Reporting Person(s) to Issuer     (Check all applicable)								
ALFIERI JOSEPH J III										Director		10% Owner						
(Last) (Madic)				3. Date of Earliest Transaction (Month/Day/Year) 11/16/2023						Officer (g below) vice Pres	Other (spec	ify below)						
				4. If Amendment, Date of Original Filed (Month/Day/Year)					6. Individual or Joint/Group Filing (Check Applicable Line)									
East Aurora	NY	14052									X Form filed by One Reporting Person							
(City)	(State)	(Zip)	_						Form filed by More than One Reporting Person									
		Table I -	Non-Deriv	ative Securition	es Acquired, [	Dispos	sed o	of, or Be	enefici	ally Ow	ned							
1. Title of Security (Instr. 3)			2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction or Dis Code (Instr. 3, 4 ar			ed Of (D		5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)						
						Code	v	Amount	ınt (A) or Pr				Reported Transaction(s) (Instr. 3 and 4)					
Class B Com	non			11/16/2023		A (1)		71	A	\$ 0.00	1,365	D						
Class B Com	non			11/16/2023		<sub>F</sub> (2)		26	D	\$ 126.20	1,339	D						
Class B Comr	non			11/17/2023		A (3)		67	A	\$ 0.00	1,406	D						

1. Title of Security (Instr. 3)					2. Transaction Date (Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)		4. Securities Acquired ( or Disposed Of (D) (Inst 3, 4 and 5)			Securi Benefi	ities icially d Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
						Code	٧	Amount	(A) or (D)	Price	Transa	action(s) 3 and 4)		(111041.4)			
Class B Common					11/17/2023				<sub>F</sub> (4)		25	D 1	\$ 26.20		1,381	D	
Class B Common (5)															429	I	401(k)
	1	T	able II - Deriva (e.g.,					s, options	, conv	ertib							
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exerc Expiration Da (Month/Day/\	ate		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		Der Sec	Price of ivative curity str. 5)		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expira Dat		Title	Amour or Number of Share	er				
SAR (6)	\$ 85.95							(7)	11/12/	2029	Class B Common	1 868			868	D	
SAR (6)	\$ 73.39							(7)	11/17/	2030	Class B Common	1 1 089	)		1,089	D	
SAR (6)	\$ 83.00							(7)	11/16/	2031	Class B Common	1 997			992	D	

## **Explanation of Responses:**

<sup>1.</sup> Represents the Class B shares received by the reporting person upon the vesting of the second fixed dollar tranche of the fixed dollar-denominated time vesting award ("TVA") received by the reporting person on November 16, 2021. The TVA vests in three equal fixed dollar tranches and can be settled into Class B shares using the fair market value of the Class B shares on the date of vesting of such tranche.

- 2. The Company withheld Class B shares to satisfy the tax withholding obligation for the tranche of the reporting person's TVA that vested on November 16, 2023.
- 3. Represents the Class B shares received by the reporting person upon the vesting of the third fixed dollar tranche of the fixed dollar-denominated time vesting award ("TVA") received by the reporting person on November 17, 2020. The TVA vests in three equal fixed dollar tranches and can be settled into Class B shares using the fair market value of the Class B shares on the date of vesting of such tranche.
- 4. The Company withheld Class B shares to satisfy the tax withholding obligation for the tranche of the reporting person's TVA that vested on November 17, 2023.
- 5. Reflects equivalent shares held in Moog Inc. Retirement Savings Plan as of the most recent report to participants.
- 6. Stock Appreciation Rights (SAR) granted under the Moog Inc. 2014 Long Term Incentive Plan.
- 7. SARs become exercisable ratably over three years beginning on the first anniversary from the date of grant.

#### Remarks:

/s/ Christopher A. Head, as Power of Attorney for Joseph J 11/20/2023 Alfieri III

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.