FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

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| (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) X  SENECA ST AND JAMISON RD   | 5. Amount of 6.   | 6. Ownership   | 7. Nature             |  |
|--|---|----------------|-----------------------|--|
| (Last) (First) (Middle)  SENECA ST AND JAMISON RD  3. Date of Earliest Transaction (Month/Day/Year) X  12/02/2020  4. If Amendment, Date of Original Filed (Month/Day/Year) Line Line Line Seneca ST AND JAMISON RD  4. If Amendment, Date of Original Filed (Month/Day/Year) Senecation ( | I   |                |                       |  |
| (Last) (First) (Middle)  SENECA ST AND JAMISON RD  3. Date of Earliest Transaction (Month/Day/Year)  12/02/2020  4. If Amendment, Date of Original Filed (Month/Day/Year)  East Aurora NY 14052  | Form filed by More  | than One Rep   | orting Person         |  |
| (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) X   | X Form filed by One F   | Reporting Pers | son                   |  |
| BALKIN TIMOTHY P   | Officer (give title below) Former Treasurer   | Othe           | Other (specify below) |  |
|  | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director 10% Owner |                |                       |  |

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) |       | ransaction ode (Instr. 3, 4 and |        |               |                    | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------|--|---|-------|---------------------------------|--------|---------------|--------------------|--|--|---|
|                                 |  |   | Code  |                                 | Amount | (A) or<br>(D) | Price              | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                   | (111311.4)   | (111511.4)  |
| Class A Common                  |  |   |       |                                 |        |               |                    | 703  | D  |   |
| Class B Common                  | 12/02/2020                                 |   | A (1) |                                 | 353    | <b>A</b> (1)  | \$0 <sup>(1)</sup> | 1,388  | D  |   |
| Class B Common (2)              |  |   |       |                                 |        |               |                    | 2,210  | I  | 401 (k)   |

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative<br>Security (Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transac<br>Code (li<br>8) |   | 5. Numl<br>Derivati<br>Securiti<br>Acquire<br>or Disp<br>(D) (Instand 5) | ive<br>ies<br>ed (A)<br>osed of | Exercisable and Expiration Date (Month/Day/Yea |                    | 7. Title and Amount of<br>Securities Underlying<br>Derivative Security (Instr. 3<br>and 4) |                                     | 8. Price<br>of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---|---|--|---|---------------------------------|---|--|---------------------------------|--|--------------------|--|-------------------------------------|--|--|--|--|
|   |   |  |   | Code                            | V | (A)  | (D)                             | Date<br>Exercisable                            | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of Shares |  |  |  |  |
| SAR (3)                                       | \$41.82   |  |   |                                 |   |  |                                 | (4)  | 11/30/2021         | Class A Common   | 4,000                               |  | 4,000  | D  |  |

| SAR (3) | \$36.41  |  |  | (4) | 11/27/2022 | Class A Common | 4,000 |   | 4,000 | D |  |
|---------|----------|--|--|-----|------------|----------------|-------|---|-------|---|--|
| SAR (3) | \$61.69  |  |  | (4) | 11/11/2023 | Class A Common | 2,000 |   | 2,000 | D |  |
| SAR (3) | \$74.38  |  |  | (4) | 11/11/2024 | Class A Common | 2,000 |   | 2,000 | D |  |
| SAR (5) | \$63.04  |  |  | (4) | 11/17/2025 | Class A Common | 667   |   | 667   | D |  |
| SAR (5) | \$65.90  |  |  | (4) | 11/17/2025 | Class B Common | 1,333 |   | 1,333 | D |  |
| SAR (5) | \$71.648 |  |  | (4) | 11/15/2026 | Class B Common | 2,000 |   | 2,000 | D |  |
| SAR (5) | \$82.31  |  |  | (4) | 11/14/2027 | Class B Common | 1,611 |   | 1,611 | D |  |
| SAR (5) | \$80.19  |  |  | (4) | 11/13/2028 | Class B Common | 1,741 |   | 1,741 | D |  |
| SAR (5) | \$85.95  |  |  | (4) | 11/12/2029 | Class B Common | 1,736 | _ | 1,736 | D |  |

## **Explanation of Responses:**

- 1. Stock award received under the Moog Inc. 2014 Long Term Incentive Plan based upon achievement under the Short Term Incentive Plan for fiscal year 2020.
- 2. Reflects shares held in Moog Inc. Retirement Savings Plas as of the most recent statement to participants.
- 3. Stock Appreciation Right (SAR) granted under the Moog Inc. 2008 Stock Appreciation Rights Plan.
- 4. SARs become exercisable ratably over three years beginning on the first anniversary from the date of grant.
- 5. Stock Appreciation Rights (SAR) granted under the Moog Inc. 2014 Long Term Incentive Plan.

/s/ Christopher P. Donnini, as Power of
Attorney for Timothy P. Balkin

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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