SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

to

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c) See Instruction 10.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*	2. Issuer Name and Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
FISHBACK DONALD R	MOOG INC [MOGA/MOGB]	X Director 10% Owner						
(Last) (First) (Middle)	3. Date of Earliest Transaction (Month/Day/Year) 11/21/2023	Officer (give title below) Other (specify below)						
SENECA ST & JAMISON RD		,						
(Street)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)						
EAST AURORA NY 14052		X Form filed by One Reporting Person Form filed by More than One Reporting Person						
(City) (State) (Zip)								

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		Securities Beneficially Owned Following	Form: Direct	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	٧	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	on(s)	
Class A Common	11/21/2023		G		1,858	D	\$ 0.00	5,760	I	Trust (1)
Class A Common	11/21/2023		G		1,858	D	\$ 0.00	3,902	I	Trust (1)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr.					5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership		
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)		
Class A Common	11/21/2023		G		3,902 (2)	D	\$ 0.00	0	I	Trust (1)		
Class A Common	11/21/2023		G		3,902 (2)	A	\$ 0.00	27,939	I	Trust (3)		
Class A Common								9,273	I	Trust (4)		
Class A Common								8,590	I	Trust (5)		
Class A Common								7,230	I	Trust (6)		
Class B Common								11,473	D			
Class B Common ⁽⁷⁾								276	I	401 (k)		

Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)				7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
SAR (8)	\$ 74.38							(9)	11/11/2024	Class A Common	10,000		10,000	D	
SAR (10)	\$ 63.04							(9)	11/17/2025	Class A Common	3,333		3,333	D	
SAR (10)	\$ 65.90							(9)	11/17/2025	Class B Common	6,667		6,667	D	
SAR (10)	\$ 71.648							(9)	11/15/2026	Class B Common	10,000		10,000	D	
SAR (10)	\$ 82.31							(9)	11/14/2027	Class B Common	6,181		6,181	D	
SAR (10)	\$ 80.19							(9)	11/13/2028	Class B Common	6,988		6,988	D	

Explanation of Responses:

- 1. Shares held by a grantor retained annuity trust of which the reporting person is the trustee.
- 2. On November 21, 2023, 3,902 shares of Class A common stock were transferred from a grantor retained annuity trust of which the reporting person is the trustee to a living trust of which the reporting person's spouse is the trustee.
- 3. Shares held by a living trust of which the reporting person's spouse is the trustee.
- 4. Shares held by an irrevocable trust of which the reporting person's spouse is the trustee.

- 5. Shares held by a grantor retained annuity trust of which the reporting person's spouse is the trustee.
- 6. Shares held by a living trust of which the reporting person is the trustee.
- 7. Reflects shares held in Moog Inc. Retirement Savings Plan as of the most recent report to participants.
- 8. Stock Appreciation Rights (SAR) granted under the 2008 Incentive Stock Option Plan.
- 9. SARs become exercisable ratably over three years beginning on the first anniversary from the date of grant.
- 10. Stock Appreciation Rights (SAR) granted under the Moog Inc. 2014 Long Term Incentive Plan.

Remarks:

/s/ Christopher A. Head, as Power of Attorney for Donald 11/22/2023 R. Fishback

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.