SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB APPROVAL

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3235-0287

0.5

OMB Number:

Expires:

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] GUNDERMANN PETER J							2. Issuer Name and Ticker or Trading Symbol MOOG INC [MOGA/MOGB]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner					
(Last) SENECA ST & JAM	(First) SON RD						3. Date of Earliest Transaction (Month/Day/Year) 11/17/2020								Officer (give title Other (specify below) below)				er (specify	
(Street) EAST AURORA (City)	ΓÂURORA NY 14052						4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person					
(-))	()				able I - N	lon-De	rivative	Securitie	s Acqui	red,	Dispo	sed of, o	r Benefici	ally Ov	vned					
1. Title of Security (Instr. 3)						2. 1 Dat (Mo	Fransaction te onth/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr		Disi	Securities Acquired (A) or isposed Of (D) (Instr. 3, 4 an				Beneficially Owned		Ownership orm: Direct)) or direct (I)	7. Nature of Indirect Beneficial Ownership	
									Code	v	Ai	mount	(A) or (D)	Pric	e R	ollowing eported ransaction(nstr. 3 and	s)	nstr. 4)	(Instr. 4)	
Class B Common ⁽¹⁾							1/17/2020		Α			1,159	Α	\$0		5,234		D		
Class A Common																779		D		
					Table II	- Deriv (e.g.,	vative Se puts, ca	curities /	Acquire ants, op	d, D tion	ispose is, con	ed of, or E vertible s	Beneficial securities)	ly Own	ed		·			
1. Title of Deriva Security (Instr. 3) or Exer Price o Derivat	2. Conversion or Exercise Price of Derivative Security	Conversion or Exercise Price of Derivative	xercise e of vative	ansaction ith/Day/Year) (Morth/Day/Year)	Transaction Deri Code (Instr. Sec 8) Acq or D (D)		Deriva Secur Acqui or Dis	ities red (A) posed of str. 3, 4	Exerc Expira (Mont	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. and 4)			tr. 3	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	Ownership Form: Direct (D) or Indirect	Beneficial
						Code	v	/ (A)	(D)	Date Exercisa	able	Expiration Date	Title		Nu	nount or mber shares				
SAR ⁽²⁾	\$36.	6.86							11/30/2	011	11/30/2020	Class A	Common	1	500		1,500	D		
SAR ⁽²⁾	\$41.	.82							11/30/2	012	11/30/2021	Class A	Common	1	500		1,500	D		

SAR ⁽²⁾	\$36.41			11/27/2013	11/27/2022	Class A Common	1,500	1,500	D	
SAR ⁽²⁾	\$61.69			11/11/2014	11/11/2023	Class A Common	2,000	2,000	D	
SAR ⁽²⁾	\$74.38			11/11/2015	11/11/2024	Class A Common	2,000	2,000	D	
SAR ⁽³⁾	\$65.90			11/17/2016	11/17/2025	Class B Common	2,500	2,500	D	

Explanation of Responses:

1. Stock bonus granted under the Moog Inc. 2014 Long Term Incentive Plan.

2. Stock Appreciation Rights (SAR) granted under the Moog inc. 2008 Stock Appreciation Rights Plan.

3. Stock Appreciation Rights (SAR) granted under the Moog Inc. 2014 Long Term Incentive Plan.

/s/ Christopher P. Donnini, as Power of Attorney for Peter J. Gundermann 11/19/2020

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.