# SEC Form 4

# FORM 4

# **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

OMB /	APP	ROV	ΆΙ
-------	-----	-----	----

OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

#### Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c) See Instruction 10.

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*  MCLACHLAN STUART K  (Last) (First) (Middle)				Name <b>and</b> Ticker of MOG.  of Farliest Transaction	A/MOGB ]	r)			5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director  Officer (give title  Other (check is a consist to the constitution).							
(Last) (First) (Middle)  SENECA ST & JAMISON RD				3. Date of Earliest Transaction (Month/Day/Year) 11/15/2023							X Officer (give title below)  Vice President  Other (specify below)					
(Street)  EAST AURORA	NY	14052	4. If Ame	endment, Date of Or	iginal Filed (Month/l	Day/Year	·)	6	. Individu X	Form filed	/Group Filing (Check I by One Reporting F I by More than One I	Person	,			
(City)	(State)	(Zip)														
		Table I -	Non-Deriv	ative Securitie	es Acquired, [	Dispos	ed c	of, or Be	enefici	ally Ow	ned					
1. Title of Security (Instr. 3)				2. Transaction Date	2A. Deemed Execution Date.	Transaction or Dis			ties Acqu		5. Amount of	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership			
				(Month/Day/Year)	if any (Month/Day/Year)	Code (I		or Dispos 3, 4 and 5		) (Instr.	Securities Beneficially Owned Following	Form: Direct (D) or Indirect	Beneficial Ownership			
				(Month/Day/Year)	if any	Code (I				) (Instr.	Beneficially	Form: Direct (D) or Indirect	Beneficial			
Class B Com	mon			(Month/Day/Year)	if any	Code (II 8)	nstr.	3, 4 and 5	(A) or	 	Beneficially Owned Following Reported Transaction(s)	Form: Direct (D) or Indirect	Beneficial Ownership			

1. Title of Security (Instr. 3)			rity (Instr. 3)			ion //Year)	Exe if ar	2A. Deemed Execution Date, if any (Month/Day/Year)		Code (Instr.		4. Securities Acquired ( or Disposed Of (D) (Inst 3, 4 and 5)			ount of ities icially d Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
										Code V		(A) or (D)	Price		action(s) 3 and 4)		(Instr. 4)
Class A Co	ommon														890	D	
		Т	able II - Deriva (e.g., ı					quired, Dis s, options					y Ow	ned			
1. Title of Derivative Security (Instr. 3)  2. Conversion or Exercise Price of Derivative Security	nversion Exercise (Month/Day/Year) Exercise co of rivative	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)	action	5. Numl of Deriv Secu Acqu (A) or Dispo	6. Date Exerc Expiration D (Month/Day/\(^1\) erivative ecurities equired () or (sposed (D)) estr. 3, 4		cisable a		7. Title ar of Securi Underlyir Derivativ (Instr. 3 a	nd Amou ties ng e Securi	S	Price of Derivative Security Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expira Da		Title	Nun C	ount or ober of ares				
SAR <sup>(3)</sup>	\$ 74.38							(4)	11/11/	2024	Class A		000		1,000	D	
SAR <sup>(5)</sup>	\$ 63.04							(4)	11/17/	2025	Class A	1 6	57		1,000	D	
SAR <sup>(5)</sup>	\$ 65.90							(4)	11/17/	2025	Class I	1 1 2	333		1,333	D	
SAR <sup>(5)</sup>	\$ 71.648							(4)	11/15/	2026	Class I	1 7 (	000		2,000	D	
SAR (5)	\$ 82.31							(4)	11/14/	2027	Class I	116	511		1,611	D	

Derivative Construction (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	(e.g., I	4. Transaction Code (Instr.		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exer Expiration D (Month/Day/	cisable and ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
SAR (5)	\$ 80.19							(4)	11/12/2028	Class B Common	1,741		1,741	D	
SAR (5)	\$ 85.95							(4)	11/12/2029	Class B Common	1,736		1,736	D	
SAR (5)	\$ 73.39							(4)	11/17/2030	Class B Common	1,089		1,089	D	
SAR (5)	\$ 83.00							(4)	11/16/2031	Class B Common	3,737		3,737	D	

### **Explanation of Responses:**

- 1. Represents the Class B shares received by the reporting person upon the vesting of the initial fixed dollar tranche of the fixed dollar-denominated time vesting award ("TVA") received by the reporting person on November 15, 2022. The TVA vests in three equal fixed dollar tranches and can be settled into Class B shares using the fair market value of the Class B shares on the date of vesting of such tranche.
- 2. The Company withheld Class B shares to satisfy the tax withholding obligation for the tranche of the reporting person's TVA that vested on November 15, 2023.
- 3. Stock Appreciation Rights (SAR) granted under the Moog Inc. 2008 Stock Appreciation Rights Plan.
- 4. SARs become exercisable ratably over three years beginning on the first anniversary from the date of grant.
- 5. Stock Appreciation Rights (SAR) granted under the Moog Inc. 2014 Long Term Incentive Plan.

## Remarks:

/s/ Christopher A. Head, as Power of Attorney for Stuart K. 11/17/2023 Mclachlan

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

<sup>\*\*</sup> Signature of Reporting Person