SEC Form 4

FORM	4
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UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Check this box if no subject to Section or Form 5 obligatio continue. See Instr	ection	MENT OF CHANGES IN BENEFICIAL OWNERSHIP ion 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility pany Act of 1935 or Section 30(h) of the Investment Company Act of 1940									Expires:_Estimated average burdenhours per response:0.5								
1. Name and Address of Reporting Person [*] ROCHE PATRICK J						2. Issuer Name and Ticker or Trading Symbol MOOG INC [MOGA/MOGB]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner					
(Last) (Firs SENECA ST & JAMISON I	,	(Mi	ddle)			3. Date of Earliest Transaction (Month/Day/Year) 11/17/2020							X Officer (give title Other (specify below) below) Vice President						
(Street) EAST AURORA NY (City) (Sta	te)	140 (Zip			2	 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Ag Line) X Form filed by One Reporting Person Form filed by More than One Report 									son				
			I	able I - N	on-De	erivative	Securitie	s Acquire	ed, Dis	posed of	f, or Benefic	ially Owr	ned						
1. Title of Security (Instr. 3)					I Da	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transacti Code (Ins 8)	on n	isposed	ies Acquirec Of (D) (Instr	I (A) or . 3, 4 and	5. Amount of Securities Beneficially Owned Following			Form (D) or	rect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
								Code	v	Amount	(A) or (D)	Price	Re Tra	eported ansaction(str. 3 and	s)	liist		(11301.4)	
Class A Common														5,356			D		
Class B Common					1	1/17/2020		A ⁽¹⁾		927	A ⁽¹⁾	\$0 ⁽²⁾	2) 5,232			D			
				Table II	- Deri (e.g.	vative Se , puts, ca	ecurities / alls, warra	Acquired ants, opti	, Dispo ons, c	osed of, o onvertibl	or Beneficial le securities	lly Owned)	d						
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	r Exercise rice of erivative	a 34. Deemed Execution Date, if any (Month/Day/Year)		Transaction Code (Instr. 8) Acq or D		mber of ative ities red (A) sposed of str. 3, 4	Expirati (Month/	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Ins and 4)			8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficial Owned Following Reported Transactio (Instr. 4)	ally Form: Direct d tion(s) (I) (Ins	Ownership	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisabl	Expirat e Date	ion	Title	Amo or Num of Sh	r ber						
SAR ⁽³⁾	\$41.82							(4)	11/30/2	Clas	ss A Common	4,0	00		4,00	0	D		
SAR ⁽³⁾	\$36.41							(4)	11/27/2	D22 Clas	ss A Common	20,5	500		20,50	00	D		

OMB APPROVAL

3235-0287

OMB Number:

SAR ⁽³⁾	\$61.69			(4)	11/11/2023	Class A Common	10,000		10,000	D	
SAR ⁽³⁾	\$74.38			(4)	11/11/2024	Class A Common	10,000		10,000	D	
SAR ⁽⁵⁾	\$63.04			(4)	11/17/2025	Class A Common	3,333		3,333	D	
SAR ⁽⁵⁾	\$65.90			(4)	11/17/2025	Class B Common	6,667		6,667	D	
SAR ⁽⁵⁾	\$71.648			(4)	11/15/2026	Class B Common	10,000		10,000	D	
SAR ⁽⁵⁾	\$82.31			(4)	11/14/2027	Class B Common	6,181		6,181	D	
SAR ⁽⁵⁾	\$80.19			(4)	11/13/2028	Class B Common	6,988		6,988	D	
SAR ⁽⁵⁾	\$85.95			(4)	11/12/2029	Class B Common	6,794		6,794	D	
SAR ⁽⁵⁾	\$73.39 11/17/2020	А	4,452	(4)	11/17/2030	Class B Common	4,452	\$0	4,452	D	

Explanation of Responses:

1. Shares issued pursuant to vesting of performance-based restricted stock units that were granted to the reporting person on November 14, 2017, upon achievement of performance goals under the 2014 Moog Inc. Long Term Incentive Plan.

2. The performance-based restricted stock units were granted under an equity incentive compensation plan maintained by Moog Inc. and therefore the reporting person paid no price for the shares received upon the vesting of the performance-based restricted stock units.

3. Stock Appreciation Rights (SAR) granted under the Moog Inc. 2008 Stock Appreciation Rights Plan.

4. SARs become exercisable ratably over three years beginning on the first anniversary from the date of grant.

5. Stock Appreciation Rights (SAR) granted under the Moog Inc. 2014 Long Term Incentive Plan.

/s/ Christopher P. Donnini, as Power of Attorney for Patrick J. Roche 11/19/2020

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.