SEC Form 4

FORM	4
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UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addre ROCHE PATRICK J	ss of Report	ing F	Person [*]			2 N	. Issuer N 100G IN	lame and C [MOGA	I Ticker \/MOGB	or Ti]	rading	Symbol			5. Re (Cheo	lationship of ck all applica Director	f Reportir able)	ng Person(s) 1	o Issuer 6 Owner
(Last) SENECA ST & JAMI	(First) (Middle) & JAMISON RD								ransact	ion ((Month/	/Day/Year	r)		X	Officer (gi below) Vice Preside			er (specify
(Street) EAST AURORA (City)	t)						. If Amen	dment, D	ate of O	rigin	al Fileo	d (Month/I	Day/Year)		6. Inc Line) X	Form filed	by One	Filing (Cheo Reporting Pe than One Re	
			(21)		fable I - N	lon-De	rivative	Securitie	s Acqui	ired,	, Dispo	sed of, o	or Benefic	ially O	wned				
1. Title of Securit	y (Instr. 3)					2. 1 Dat (Mo	Transaction te onth/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)			Dis	ecurities posed Of	Acquirec (D) (Instr	l (A) or . 3, 4 a	nd S B O	. Amount of ecurities eneficially wned	F (I	. Ownership orm: Direct D) or ndirect (I)	7. Nature of Indirect Beneficial Ownership
						Code (Instr. Disposed Of (D) (Instr. 0, 4 a) Code V Amount (A) or (D) Price 12/02/2020 A (1) 835 A (1) 12/02/2020 A (1) 835 A (1) \$0 (0) Ible II - Derivative Securities Acquired, Disposed of, or Beneficially Owr (e.g., puts, calls, warrants, options, convertible securities) \$0 (0) Instr. 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) 6. Date Exercisable and Expiration Date (Month/Day/Year) 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3, 4) Image: Code (Instr. 0. (D) (Instr. 3, 4) 0. (D) (Instr. 3, 4) 0. (D) (Instr. 3, 4)	e R	 Following Reported Transaction(s) (Instr. 3 and 4) 		nstr. 4)	(Instr. 4)								
Class A Common																5,356 D			
Class B Common						12	2/02/2020		A ⁽¹⁾			835	A ⁽¹⁾	\$0 ⁽	1)	6,067		D	
					Table II	- Deriv (e.g.,	vative Se , puts, ca	curities /	Acquire ants, op	d, D tion	ispose is, con	ed of, or E vertible s	Beneficial securities	ly Owr)	ned				
1. Title of Derivative Security (Instr. 3) 2. Conversion or Exercise Price of Derivative Security			Price of Derivative	3A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr. 8) Acqui or Dis (D) (In		ative ities red (A) posed of str. 3, 4	Exerc Expira (Mont	Exercisable and Expiration Date		Securities Underlying Derivative Security (Instr			str. 3	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Ownership Form: Direct (D) or Indirect	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code	v	(A)	(D)	Date Exercisa	e able	Expiration Date	т	「itle	Nu	nount or umber Shares				
SAR ⁽²⁾	\$41.8	82							(3)		11/30/2021	Class A	Common	4	,000		4,000	D	
SAR ⁽²⁾	\$36.4	41							(3)		11/27/2022	Class A		2	0,500		20,500) D	

SAR ⁽²⁾	\$61.69			(3)	11/11/2023	Class A Common	10,000	10,000	D	
SAR ⁽²⁾	\$74.38			(3)	11/11/2024	Class A Common	10,000	10,000	D	
SAR ⁽⁴⁾	\$63.04			(3)	11/17/2025	Class A Common	3,333	3,333	D	
SAR ⁽⁴⁾	\$65.90			(3)	11/17/2025	Class B Common	6,667	6,667	D	
SAR ⁽⁴⁾	\$71.648			(3)	11/15/2026	Class B Common	10,000	10,000	D	
SAR ⁽⁴⁾	\$82.31			(3)	11/14/2027	Class B Common	6,181	6,181	D	
SAR ⁽⁴⁾	\$80.19			(3)	11/13/2028	Class B Common	6,988	6,988	D	
SAR ⁽⁴⁾	\$85.95			(3)	11/12/2029	Class B Common	6,794	6,794	D	
SAR (4)	\$73.39			(3)	11/17/2030	Class B Common	4,452	4,452	D	

Explanation of Responses:

1. Stock award received under the Moog Inc. 2014 Long Term Incentive Plan based upon achievement under the Short Term Incentive Plan for fiscal year 2020.

2. Stock Appreciation Right (SAR) granted under the Moog Inc. 2008 Stock Appreciation Rights Plan.

3. SARs become exercisable ratably over three years beginning on the first anniversary from the date of grant.

4. Stock Appreciation Rights (SAR) granted under the Moog Inc. 2014 Long Term Incentive Plan.

/s/ Christopher P. Donnini, as Power of Attorney for Patrick J. Roche 12/04/2020

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.