SEC Form 4

SAR ⁽¹⁾

SAR ⁽¹⁾

\$36.41

\$61.69

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB APPROVAL

Estimated average burden

3235-0287

OMB Number:

Expires:

12,500

10,000

Class A Common

Class A Common

12,500

10,000

D

D

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934. Section 17(a) of the Public Utility

continue. Se	e Instruction	n 1(b)		H	lolding Co	ompa	any Act of 1	935 or Se	ection 3	60(h)	of the li	nvestment	Company	Act of 194	0	hours	s per respon	se: 0.5	
1. Name and Address of Reporting Person [*] ROCHE PATRICK J							2. Issuer Name and Ticker or Trading Symbol MOOG INC [MOGA/MOGB]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner				
(Last) (First) (Middle) SENECA ST & JAMISON RD							3. Date of Earliest Transaction (Month/Day/Year) 06/04/2021								X Officer (give title Other (specify below) below) Vice President				
(Street) EAST AURORA NY 14052 (City) (State) (Zip)							4. If Amendment, Date of Original Filed (Month/Day/Year)								 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person 				
				T	able I - N	lon-	Derivative	Securitie	es Acqu	uired	, Dispo	osed of, o	r Benefici	ally Owned	d				
1. Title of Security (Instr. 3)							2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)) Dis	4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 ar 5)			5. Amount of Securities Beneficially Owned Following		6. Ownershi Form: Direc (D) or Indirect (I) (Instr. 4)		
									Code	v	A	mount	(A) or (D)	Price	Reported Transaction((Instr. 3 and 4	s)	(1150.4)	(1150.4)	
Class A Common							06/04/2021		S			2,200	D	\$90.10	9,043		D		
Class B Common															6,067		D		
					Table II		erivative Se g., puts, ca												
1. Title of Derivative Security (Instr. 3)	3) or Exe Price of Deriva	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Montl//Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		n Deriva . Secur Acqui or Dis (D) (Ir	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year		7. Title and Amount of Securities Underlying Derivative Security (Ins and 4)		lying	8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficial Owned Following Reported Transactic (Instr. 4)	Given the second	Beneficial	
					Code		/ (A)	(D)	Da Exerc		Expiration Date	т	ïtle	Amour or Numbe of Shar	er				

(2)

(2)

11/27/2022

11/11/2023

SAR ⁽¹⁾	\$74.38				(2)	11/11/2024	Class A Common	10,000	10,000	D	
SAR ⁽³⁾	\$63.04				(2)	11/17/2025	Class A Common	3,333	3,333	D	
SAR ⁽³⁾	\$65.90				(2)	11/17/2025	Class B Common	6,667	6,667	D	
SAR ⁽³⁾	\$71.648				(2)	11/15/2026	Class B Common	10,000	10,000	D	
SAR ⁽³⁾	\$82.31				(2)	11/14/2027	Class B Common	6,181	6,181	D	
SAR ⁽³⁾	\$80.19				(2)	11/13/2028	Class B Common	6,988	6,988	D	
SAR ⁽³⁾	\$85.95				(2)	11/12/2029	Class B Common	6,794	6,794	D	
SAR ⁽³⁾	\$73.39				(2)	11/17/2030	Class B Common	4,452	4,452	D	

Explanation of Responses:

1. Stock Appreciation Rights (SAR) granted under the 2008 Stock Option Plan.

2. SARs become exercisable ratably over three years beginning on the first anniversary from the date of grant.

3. Stock Appreciation Rights (SAR) granted under the Moog Inc. 2014 Long Term Incentive Plan.

/s/ Christopher P. Donnini, as Power of 06/25/2021 Attorney for Patrick J. Roche

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.