SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

5. Relationship of Reporting Person(s) to Issuer

126.20

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c) See Instruction 10.

1 Name and Address of Reporting Person*

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

	ATRICK J	ng Person		G INC [MOG	• •					l applicable Director	e)	10% Owner					
(Last)	11/15/2023									Officer (g	Other (spec	Other (specify below)					
SENECA ST	Γ& JAMISON	RD							Chief	Executiv	ve Officer						
(Street)			4. If Ame	4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Individual or Joint/Group Filing (Check Applicable Line)						
EAST AURORA NY 14052									X		d by One Reporting F		n				
(City)	(State)	(Zip)	_														
		Table I -	Non-Deriv	ative Securitie	es Acquired, [Dispos	sed (of, or Be	enefici	ially Ow	ned						
1. Title of Secu	urity (Instr. 3)			2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (4. Securit or Dispos 3, 4 and 5	ed Of (D		5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership				
						Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)				
Class B Com	nmon			11/15/2023		A (1)		2,312	A	\$ 0.00	10,937	D					
Class B Com	nmon			11/15/2023		_F (2)		1,179	D	\$ 126.20	9,758	D					

2. Issuer Name and Ticker or Trading Symbol

1. Title of Security (Instr. 3)					2. Transaction Date (Month/Day/Ye		2A. Deemed Execution Date, ar) if any (Month/Day/Year)		3. Transaction Code (Instr. 8)		4. Securities Acquired (or Disposed Of (D) (Ins 3, 4 and 5)			Secur Benef Owne	icially d Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
						Code	v	Amount	(A) or (D) Pr			action(s) 3 and 4)		(Instr. 4)			
Class A Common														1	5,019	D	
Class B Common (3)															332	I	401 (k)
		7	able II - Deriva					quired, Dis						ned			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any	4. Trans	ransaction ode (Instr. of		ative rities ired osed	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		ınt	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expira Dat		Title	Nun	ount or nber of ares				
SAR (4)	\$ 74.38							(5)	11/11/	2024	Class A	110	000		10,000	D	
SAR (6)	\$ 63.04							(5)	11/17/	2025	Class A		333		3,333	D	
SAR (6)	\$ 65.90							(5)	11/17/	2025	Class E	1 6 6	667		6,667	D	
SAR (6)	\$ 71.648							(5)	11/15/	2026	Class E	- 110	000		10,000	D	
SAR (6)	\$ 82.31							(5)	11/14/	2027	Class E	16	181		6,181	D	

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)		ransaction Number ode (Instr. of		6. Date Exerc Expiration D (Month/Day/	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
SAR (6)	\$ 80.19							(5)	11/13/2028	Class B Common	6,988		6,988	D	
SAR (6)	\$ 85.95							(5)	11/12/2029	Class B Common	6,794		6,794	D	
SAR (6)	\$ 73.39							(5)	11/17/2030	Class B Common	4,452		4,452	D	
SAR (6)	\$ 83.00							(5)	11/16/2031	Class B Common	8,757		8,757	D	

Explanation of Responses:

- 1. Represents the Class B shares received by the reporting person upon the vesting of the initial fixed dollar tranche of the fixed dollar-denominated time vesting award ("TVA") received by the reporting person on November 15, 2022. The TVA vests in three equal fixed dollar tranches and can be settled into Class B shares using the fair market value of the Class B shares on the date of vesting of such tranche.
- 2. The Company withheld Class B shares to satisfy the tax withholding obligation for the tranche of the reporting person's TVA that vested on November 15, 2023.
- 3. Reflects equivalent shares held in Moog Inc. Retirement Savings Plan as of the most recent report to participants.
- 4. Stock Appreciation Rights (SAR) granted under the Moog Inc. 2008 Stock Appreciation Rights Plan.
- 5. SARs become exercisable ratably over three years beginning on the first anniversary from the date of grant.
- 6. Stock Appreciation Rights (SAR) granted under the Moog Inc. 2014 Long Term Incentive Plan.

Remarks:

/s/ Christopher A. Head, as Power of Attorney for Patrick 11/17/2023 J. Roche

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

^{**} Signature of Reporting Person

^{*} If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

^{**} Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).