SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c) See Instruction 10.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Ad | dress of Reporting | Person [*] | 2. Issuer Name and Ticker or Trading Symbol | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | | |
|-------------------------|--------------------|---------------------|---|------------|---|-----------------------|--|--|--|--|--|
| SCANNEL | <u>L JOHN</u> | | MOOG INC [MOGA/MOGB] | X | Director | 10% Owner | | | | | |
| (Last) (First) (Middle) | | | 3. Date of Earliest Transaction (Month/Day/Year) 12/05/2023 | | Officer (give title below) | Other (specify below) | | | | | |
| SENECA ST | & JAMISON R | D | | | , | | | | | | |
| (Street) | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | 6. Individ | 6. Individual or Joint/Group Filing (Check Applicable Line) | | | | | | |
| EAST AURORA | NY | 14052 | | X | Form filed by One Repor | · · | | | | | |
| (City) | (State) | (Zip) | _ | | | | | | | | |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | | | | quired (A) or (Instr. 3, 4 | 5. Amount of Securities Beneficially Owned Following | (D) or Indirect (I) | 7. Nature of Indirect Beneficial Ownership |
|---------------------------------|--|---|---|---|--------|------------------|-------------------------------|---|------------------------|---|
| | | | Code | ٧ | Amount | (A) or (D) | Price | Reported Transaction(s) (Instr. 3 and 4) | (Instr. 4) | (Instr. 4) |
| Class A Common | 12/05/2023 | | S | | 3,500 | D | \$ 140.9308 | 39,728 | D | |
| Class A Common | 12/05/2023 | | S | · | 3,500 | D | \$ 140.9308 | 35,846 | I | Spouse |

| | | | | | 2. Transaction Date (Month/Day/Year) | | | 2A. Deemed Execution Date, if any (Month/Day/Year) | | Transaction Code (Instr. | | 4. Securities Acquired (A Disposed Of (D) (Instr. 3 and 5) | | | i. Amount of Securities Beneficially Dwned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) | 7. Nature of Indirect Beneficial Ownership |
|---|---|--------------------|---------------------------|---|--|--|-----|--|--------------|--------------------------|---|--|-------------------------------------|---|---|---|--|
| | | | | | | | | | Code | ٧ | Amount | (A) or (D) | Pri | 1 | ransaction(s) Instr. 3 and 4) | (Instr. 4) | (Instr. 4) |
| Class B Common | | | | | | | | | | | | | | | 25,816 | D | |
| Class B Common (1) | | | | | | | | | | | | | | | 3,514 | I | 401 (k) |
| | | | able II - Deriv (e.g., | | | | | quired, Dis | | | | | | wned | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | e (Month/Day/Year) | | 4. Transaction Code (Instr. 8) | | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | nd | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | | 8. Price Deriva Securi (Instr. | tive derivative ty Securities | Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | | Code | v | (A) | (D) | Date Exercisable | Expira Da | | Title | N | mount or umber of hares | | | | |
| SAR (2) | \$ 74.38 | | | | | | | (3) | 11/11/ | /2024 | Class | - 11 | 5,000 | | 15,000 | D | |
| SAR (4) | \$ 63.04 | | | | | | | (3) | 11/17/ | /2025 | Class | | 5,000 | | 5,000 | D | |
| SAR (4) | \$ 65.90 | | | | | | | (3) | 11/17/ | /2025 | Class | | 0,000 | | 10,000 | D | |
| SAR (4) | \$ 71.648 | | | | | | | (3) | 11/15/ | 2026 | Class | - 12 | 0,000 | | 20,000 | D | |

| | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | | | |
|---|--|--|-----------------|-------------------------------|---|--|-----|--|--------------------|---|--|---|--|--|--|
| 1. Title of Derivative Security (Instr. 3) | rative Conversion Date Execution Date, rity or Exercise (Month/Day/Year) if any | | Execution Date, | ate, Transaction Code (Instr. | | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 6. Date Exerc Expiration D (Month/Day/ | ate | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |
| SAR (4) | \$ 82.31 | | | | | | | (3) | 11/14/2027 | Class B Common | 18,543 | | 18,543 | D | |
| SAR (4) | \$ 80.19 | | | | | | | (3) | 11/13/2028 | Class B Common | 27,949 | | 27,949 | D | |
| SAR (4) | \$ 85.95 | | | | | | | (3) | 11/12/2029 | Class B Common | 33,969 | | 33,969 | D | |
| SAR (4) | \$ 73.39 | | | | | | | (3) | 11/17/2030 | Class B Common | 25,130 | | 25,130 | D | |
| SAR (4) | \$ 83.00 | | | | | | | (3) | 11/16/2031 | Class B Common | 23,352 | | 23,352 | D | |

Explanation of Responses:

- 1. Reflects equivalent shares held in Moog Inc. Retirement Savings Plan as of the most recent report to participants.
- 2. Stock Appreciation Rights (SAR) granted under the Moog Inc. 2008 Stock Appreciation Rights Plan.
- 3. SARs become exercisable ratably over three years beginning on the first anniversary from the date of grant.
- 4. Stock Appreciation Rights (SAR) granted under the Moog Inc. 2014 Long Term Incentive Plan.

Remarks:

/s/ Christopher A. Head, as Power of Attorney for John R. 12/06/2023 Scannell

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

^{**} Signature of Reporting Person

^{*} If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

^{**} Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).