## SEC Form 4

Class B Common

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# FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

### OMB APPROVAL

OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

# Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c) See Instruction 10.

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person*				Name <b>and</b> Ticker o	or Trading Symbol			5. Relationship of Reporting Person(s) to Issuer						
SWOPE N	MICHAEL J		MOO	G INC [ MOG	A/MOGB ]		(Check a	(Check all applicable)  Director 10% Owner						
(Last)	(First) T & JAMISON	(Middle)	3. Date of 11/14/2	of Earliest Transaction 2023	on (Month/Day/Yea	·)	X	Officer (gi below) Control	Other (spec	cify below)				
(Street)	1 & JAMISON	N KD	4. If Ame	endment, Date of Or	iginal Filed (Month/l	Day/Year)	6. Individ	6. Individual or Joint/Group Filing (Check Applicable Line)						
EAST AURORA	NY	14052					X	X Form filed by One Reporting Person Form filed by More than One Reporting Person						
(City)	(State)	(Zip)												
		Table I -	Non-Deriv	ative Securition	es Acquired, [	Disposed o	of, or Benefic	cially Ow	ned					
1. Title of Sec	urity (Instr. 3)			2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr.	4. Securities Acc or Disposed Of ( 3, 4 and 5)	. , ,	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			

11/14/2023

11/14/2023

(A) or

(Ď)

A

(1)

D

Price

\$ 0.00

(2)

\$

126.20

٧

Amount

170

62

Code

(1) A

<sub>E</sub> (4)

Transaction(s)

(Instr. 3 and 4)

1,700 (3)

1,638

D

D

		Tak	ole I - Non-Der	ivativ	e Sec	uriti	es A	Acquired, I	Dispos	ed o	t, or Be	neficia	IIy O	wned			
1. Title of Security (Instr. 3)					2. Transaction Date (Month/Day/Year)		2A. Deemed Execution Date, r) if any (Month/Day/Year)		Transaction Code (Instr.		4. Securities Acquired (A or Disposed Of (D) (Instr 3, 4 and 5)			Securi Benefi Owned	ties cially I Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
										v	Amount (A) or (D)		Price		ted action(s) 3 and 4)		(Instr. 4)
Class B Common (5)															365	I	401 (k)
		Т	able II - Deriva (e.g.,					quired, Dis s, options					Ow	ned			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)				7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		S	8. Price of Derivative Security Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expira Dat		Title	Amo or Num of Shar	ber				
SAR (6)	\$ 85.95							(7)	11/12/	2029	Class E Commo	1 X6	8		868	D	
SAR (6)	\$ 73.39							(7)	11/17/	2030	Class E Commo	1 5/1	5		545	D	
SAR (6)	\$ 83.00							(7)	11/16/	2031	Class E Commo	1 99	2		992	D	

### **Explanation of Responses:**

<sup>1.</sup> Shares issued pursuant to vesting of performance-based restricted stock units that were granted to the reporting person on November 17, 2020, upon achievement of performance goals under the Moog Inc. 2014 Long Term Incentive Plan.

<sup>2.</sup> The performance-based restricted stock units were granted under an equity incentive compensation plan maintained by Moog Inc. and therefore the reporting person paid no price for the shares received upon the vesting of the performance-based restricted stock units.

- 3. Includes 136 shares of Class B Common acquired under the Moog Inc. Employee Stock Purchase Plan on December 30, 2022, and 144 shares of Class B Common acquired under the Moog Inc. Employee Stock Purchase Plan on July 1, 2023.
- 4. Shares withheld for taxes upon the settlement in shares of performance-based restricted stock units previously granted to the reporting person.
- 5. Reflects equivalent shares held in Moog Inc. Retirement Savings Plan as of the most recent report to participants.
- 6. Stock Appreciation Rights (SAR) granted under the Moog Inc. 2014 Long Term Incentive Plan.
- 7. SARs become exercisable ratably over three years beginning on the first anniversary from the date of grant.

#### Remarks:

/s/ Christopher A. Head, as Power of Attorney for Michael 11/16/2023 J. Swope

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.