## SEC Form 4

# FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

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OMB Number: 3235-0287 Estimated average burden hours per response:

0.5

#### Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c) See Instruction 10.

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*			2. Issuer Name and Ticker or Trading Symbol	Relationship of Reporting Person(s) to Issuer (Check all applicable)
TRABERT MARK JOSEPH			MOOG INC [ MOGA/MOGB ]	Director 10% Owner
(Last) (First) (Middle)		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 11/14/2023	X Officer (give title below) Other (specify below)
SENECA ST AND JAMISON RD		SON RD	11/11/2023	Chief Operating Officer
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)
EAST AURORA	NY	14052		X Form filed by One Reporting Person Form filed by More than One Reporting Person
(City)	(State)	(Zip)		
		Table I -	Non-Derivative Securities Acquired, Disposed of	, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (I		4. Securit or Dispos 3, 4 and 5	ed Of (D	٠,	Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	>	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Class B Common	11/14/2023		A (1)		1,394	<b>A</b> (1)	\$ 0.00 (2)	6,302 (3)	D	
Class B Common	11/14/2023		F (4)		503	D	\$ 126.20	5,799	D	

1. Title of Security (Instr. 3)			Dat	te	th/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		Code (Instr.					d Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
										Code V		(A) or (D)	Price		action(s) 3 and 4)		(Instr. 4)
Class B Common (5)															895	I	401 (k)
		7	Table II - Deriv					quired, Dis					y Own	ed			
1. Title of Derivative Security (Instr. 3)	Title of Conversion Conversion or Exercise (Month/Day/Year)  2. 3. Transaction Date Execution Date, if any		4. Transa	4. 5. Fransaction Code (Instr. of		ber vative urities ured r osed ) r. 3, 4	6. Date Exercisable a Expiration Date (Month/Day/Year)		7. Title and of Securitie ear) Underlying Derivative S		7. Title and Amount of Securities		Price of rivative curity str. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction( (Instr. 4)	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expira Dat		Title	Amo Num o Sha	r iber f				
SAR (6)	\$ 71.648							(7)	11/15/	2026	Class E Commo	- 110 (	000		10,000	D	
SAR (6)	\$ 82.31							(7)	11/14/	2027	Class E Commo	161	81		6,181	D	
SAR (6)	\$ 80.19							(7)	11/13/	2028	Class E Commo	169	88		6,988	D	
SAR (6)	\$ 85.95							(7)	11/12/	2029	Class E Commo	167	94		6,794	D	
SAR (6)	\$ 73.39							(7)	11/17/	2030	Class E Commo	144	52		4,452	D	

	(e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)			vative crities cired r osed )			7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	ty Securities Form 5) Beneficially Dire Owned or In	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
SAR (6)	\$ 83.00							(7)	11/16/2031	Class B Common	3,737		3,737	D	

### **Explanation of Responses:**

- 1. Shares issued pursuant to vesting of performance-based restricted stock units that were granted to the reporting person on November 17, 2020, upon achievement of performance goals under the Moog Inc. 2014 Long Term Incentive Plan.
- 2. The performance-based restricted stock units were granted under an equity incentive compensation plan maintained by Moog Inc. and therefore the reporting person paid no price for the shares received upon the vesting of the performance-based restricted stock units.
- 3. Includes 144 shares of Class B Common acquired under the Moog Inc. Employee Stock Purchase Plan on July 1, 2023.
- 4. Shares withheld for taxes upon the settlement in shares of performance-based restricted stock units previously granted to the reporting person.
- 5. Reflects equivalent shares held in Moog Inc. Retirement Savings Plan as of the most recent report to participants.
- 6. Stock Appreciation Rights (SAR) granted under the Moog Inc. 2014 Long Term Incentive Plan.
- 7. SARs become exercisable ratably over three years beginning on the first anniversary from the date of grant.

#### Remarks:

/s/ Christopher A. Head, as Power of Attorney for Mark 11/16/2023 Joseph Trabert

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

<sup>\*\*</sup> Signature of Reporting Person

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

<sup>\*</sup> If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

<sup>\*\*</sup> Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).