SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB APPROVAL

Estimated average burden

3235-0287

OMB Number:

Expires:

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

continue. Se	e Instruction 1(I	o). Holdi	ng Company Act of 1935 or Section 30(h) of the Investment Company Ac	t of 1940	hours per	r response:	0.5	
1. Name and Add WALTER JENNIF		Person [*]	2. Issuer Name and Ticker or Trading Symbol MOOG INC [MOGA/MOGB]	5. Relationsh (Check all ap Direc	plicable)	Person(s) to Issuer 10% Owner		
(Last) SENECA ST & JAM	(First) ⁄IISON RD	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 11/16/2022		er (give title v)	Other (specify below)		
(Street) EAST AURORA	NY	14052	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(State)	(Zip)		Folli		an One Reporting Pe	5011	
		Tabl	e I - Non-Derivative Securities Acquired, Disposed of, or Beneficially	Owned				

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (I 8)		4. Securities Disposed Of 5)	Acquired (D) (Instr	(A) or . 3, 4 and	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(1150.4)	(Instr. 4)	
Class B Common	11/16/2022		A ⁽¹⁾		731	Α	\$0	5,210	D		
Class B Common	11/16/2022		F ⁽²⁾		374	D	\$85.1701	4,836	D		
Class A Common								3,413	D		
Class B Common ⁽³⁾								852	Ι	401 (k)	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Deri Code (Instr. Sec 8) Acq or D (D)		5. Numl Derivati Securiti Acquire or Disp (D) (Ins and 5)	ive ies ed (A) osed of	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
					Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			

SAR ⁽⁴⁾	\$61.69			(5)	11/11/2023	Class A Common	2,000	2,000	D	
SAR ⁽⁴⁾	\$74.38			(5)	11/11/2024	Class A Common	2,000	2,000	D	
SAR ⁽⁶⁾	\$63.04			(5)	11/17/2025	Class A Common	667	667	D	
SAR ⁽⁶⁾	\$65.90			(5)	11/17/2025	Class B Common	1,333	1,333	D	
SAR ⁽⁶⁾	\$71.648			(5)	11/15/2026	Class B Common	2,000	2,000	D	
SAR ⁽⁶⁾	\$82.31			(5)	11/14/2027	Class B Common	1,611	1,611	D	
SAR ⁽⁶⁾	\$80.19			(5)	11/13/2028	Class B Common	1,741	1,741	D	
SAR ⁽⁶⁾	\$85.95			(5)	11/12/2029	Class B Common	11,323	11,323	D	
SAR ⁽⁶⁾	\$73.39			(5)	11/17/2030	Class B Common	7,611	7,611	D	
SAR ⁽⁶⁾	\$83			(5)	11/16/2031	Class B Common	6,539	6,539	D	

Explanation of Responses:

1. Represents the Class B shares received by the reporting person upon the vesting of the initial fixed dollar tranche of the fixed dollar-denominated time vesting award ("TVA") received by the reporting person on November 16, 2021. The TVA vests in three equal fixed dollar tranches and can be settled into Class B shares using the fair market value of the Class B shares on the date of vesting of such tranche.

2. The Company withheld Class B shares to satisfy the tax withholding obligation for the tranche of the reporting person's TVA that vested on November 16, 2022.

3. Reflects shares held in Moog Inc. Retirement Savings Plan as of the most recent statement.

4. Stock Appreciation Rights (SAR) granted under the 2008 Stock Appreciation Rights Plan.

5. SARs become exercisable ratably over three years beginning on the first anniversary from the date of grant.

6. Stock Appreciation Rights (SAR) granted under the Moog Inc. 2014 Long Term Incentive Plan.

/s/ Christopher P. Donnini, as Power of Attorney for Jennifer Walter 11/18/2022

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** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.