SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APP	ROVAL
OMB Number:	3235-0287
Estimated average	burden
hours per responsi	. 05

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

1. Name a	ind Address o	of Reporting Perso	n	2. (88	uer I	Name	and T	icker or Trad	ing Symt	loc		I 5	Relation	nghin	of Reportin	n Person/e\ +	leguer
1. Name and Address of Reporting Person LIPKE BRIAN J				Issuer Name and Ticker or Trading Symbol MOOG INC. [MOGA/MOGB] Date of Earliest Transaction (Month/Day/Year) 11/15/2016								Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner					
(Last) (First) (Middle) SENECA ST & JAMISOND RD													Officer (give title Other (speci below) below)				
(Street)				- 4. If A	mer	ndmen	t, Dat	e of Original I	iled (Mo	nth/l	Day/Year)	6.	Individ	lual or	Joint/Group	Filing (Check	Applicabl
EAST AURORA NY 14052												X Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City)	(S	tate)	(Zip)													70/000	153
			- Non-Deriva		_		_			ed (of, or B	enefi	cially	Owr	red		
1. Title of Security (Instr. 3)				2. Transaction Date (Month/Day/Year)			2A. Deemed Execution Date, if any (Month/Day/Year)		Code (Instr.				Of (D)	Secu Bene Own		6. Ownership Form: Direct (D)	7. Nature of Indirect Beneficial Ownership
									Code	>	Amount	(A) or (D)	Price			or Indirect (i) (instr. 4)	(instr. 4)
	Common													1	2,883	D	
Class B	Common ⁽¹⁾			11/1					A	L	1,116	Α	\$0	_	1,116	D	
		Tabl	e il - Derivati		uri									wne	d		
4 Title of	Τ.			_		_	ants	, options		_		-	-				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	(e.g., pu 3A. Deemed Execution Date, If any (Month/Day/Year)	4. Transac Code (li 8)	ls, v	5. Num of Deriv Secu Acqu (A) o Disp of (D	vative urities uired or osed i)	6. Date Exer Expiration D (Month/Day/	cisable ar	_	7. Title and Amount of Securities Underlying Derivative (Instr. 3 and	i i Securit	8. P Der Sec (Ins	rice of ivative urity tr. 5)	9. Number of derivative Securities Beneficiali Owned Following Reported Transactio (s) (instr. 4	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	of Indire Benefici Owners
Derivative Security	Conversion or Exercise Price of Derivative	3. Transaction Date	3A. Deemed Execution Date, If any	4. Transac Code (in	ls, v	5. Num of Deriv Secu Acqu (A) o Disp of (D (Instrand)	vative urities uired or osed i)	6. Date Exer Expiration D	cisable ar ate Year)	ıd	7. Title and Amount of Securities Underlying Derivative	i i Securit	8. F Der Sec (Ins	ivative urity	of derivative Securities Beneficiall Owned Following Reported Transactio	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	of Indire Benefici Owners
Derivative Security	Conversion or Exercise Price of Derivative	3. Transaction Date	3A. Deemed Execution Date, If any	4. Transac Code (ii 8)	ls, varion	5. Num of Deriv Secu Acqu (A) o Disp of (D (Instrand)	vative urities uired or osed i) r. 3, 4	6. Date Exer Expiration D (Month/Day/	cisable ar ate Year)	on.	7. Title and Amount of Securities Underlying Derivative (Instr. 3 and	Securitied 4) Amour or Number of	8. P Der Sec (Ins	ivative urity	of derivative Securities Beneficiall Owned Following Reported Transactio	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Natu of Indire Benefici Ownersi (Instr. 4)
Option to Buy ⁽²⁾ Option to	Conversion or Exercise Price of Derivative Security	3. Transaction Date	3A. Deemed Execution Date, If any	4. Transac Code (ii 8)	ls, varion	5. Num of Deriv Secu Acqu (A) o Disp of (D (Instrand)	vative urities uired or osed i) r. 3, 4	6. Date Exer Expiration D (Month/Day/	cisable ar ate Year) Expiration	on 016	7. Title and Amount of Securities Underlying Derivative (Instr. 3 and Title	Amour or Numbe of Shares	8. F Der Sec (Ins	ivative urity	of derivative Securities Beneficiall Owned Following Reported Transactio (s) (Instr. 4	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	of Indire Benefici Owners
Option to Buy ⁽²⁾	Conversion or Exercise Price of Derivative Security	3. Transaction Date	3A. Deemed Execution Date, If any	4. Transac Code (ii 8)	ls, varion	5. Num of Deriv Secu Acqu (A) o Disp of (D (Instrand)	vative urities uired or osed i) r. 3, 4	6. Date Exer Expiration D (Month/Day/	Expiration	on 016	7. Title and Amount of Securities Underlying Derivative (Instr. 3 and Title Class A Common Class A	Amour or Numbe of Shares	8. P Der Sec (Ins	ivative urity	of derivative Securities Securities Beneficial Owned Following Reported Transactic (s) (Instr. 4	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	of Indire Benefici Owners
Option to Buy ⁽²⁾ Option to Buy ⁽²⁾ SAR ⁽³⁾	Conversion or Exercise Price of Derivative Security \$36.67	3. Transaction Date	3A. Deemed Execution Date, If any	4. Transac Code (ii 8)	ls, varion	5. Num of Deriv Secu Acqu (A) o Disp of (D (Instrand)	vative urities uired or osed i) r. 3, 4	6. Date Exer Expiration D (Month/Day/	Expiration Date 11/28/20 10/31/20	on 016 017	7. Title and Amount of Securities Underlying Derivative (Instr. 3 and Title Class A Common Class A Common Class A Common Class A	Amour or Number of Shares	8. FD Der Security (ins	ivative urity	of derivative Securities Securities Beneficial Owned Following Reported Transactio (s) (Instr. 4	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	of Indire Benefici Owners
Option to Buy ⁽²⁾ SAR ⁽¹⁾ SAR ⁽²⁾	Conversion or Exercise Price of Derivative Security \$36.67 \$42.45	3. Transaction Date	3A. Deemed Execution Date, If any	4. Transac Code (ii 8)	ls, varion	5. Num of Deriv Secu Acqu (A) o Disp of (D (Instrand)	vative urities uired or osed i) r. 3, 4	Date Exercisable 11/26/2008 10/31/2009	Expiration Date 11/28/20 10/31/20	pon 1916 1918	7. Title and Amount of Securities Underlying Derivative (Instr. 3 and Common Class A	Amour or Number 1,538	8. FD Der Sac (Ins	ivative urity	of derivative Securities Securities Beneficial Owned Following Reported Transactio (s) (Instr. 4	Ownership Form: Direct (D) or Indirect (I) (Instr. 4) D	of Indire Benefici Owners
Option to Buy ⁽²⁾ Option to Buy ⁽²⁾ SAR ⁽¹⁾ SAR ⁽³⁾	Conversion or Exercise Price of Derivative Security \$36.67 \$42.45 \$35.12	3. Transaction Date	3A. Deemed Execution Date, If any	4. Transac Code (ii 8)	ls, varion	5. Num of Deriv Secu Acqu (A) o Disp of (D (Instrand)	vative urities uired or osed i) r. 3, 4	Date Exercisable 11/28/2007 11/26/2008 10/31/2009	Expiration Date 11/26/20 12/01/20	20	7. Title and Amount of Securities Underlying Derivative (Instr. 3 and Common Class A	Amour of Number of Shares 1,538 1,500 1,125	8. FD Der Sac (Ins	ivative urity	of derivative Securities Securities Beneficial Owned Following Reported Transactio (s) (Instr. 4	Description of D	of Indire Benefici Owners
Option to Buy ⁽²⁾ SAR ⁽³⁾ SAR ⁽³⁾ SAR ⁽³⁾	\$36.67 \$42.45 \$36.86	3. Transaction Date	3A. Deemed Execution Date, If any	4. Transac Code (ii 8)	ls, varion	5. Num of Deriv Secu Acqu (A) o Disp of (D (Instrand)	vative urities uired or osed i) r. 3, 4	Date Exercisable 11/28/2007 11/26/2008 10/31/2009 12/01/2010	Expiration Date 11/28/20 11/26/20 12/01/20 11/30/20	016 017 018 019 020	7. Title and Amount of Securities Underlying Derivative (Instr. 3 and Common Class A Common	Amour of Number of Shares 1,538 1,500 1,125 1,500	8. FD Der Sac (Ins	ivative urity	of derivative Securities Securities Beneficial Owned Following Reported Transactic (s) (Instr. 4	D D D	of Indire Benefici Owners
Option to Buy ⁽²⁾ Option to Buy ⁽²⁾	\$36.67 \$42.45 \$36.86 \$41.82	3. Transaction Date	3A. Deemed Execution Date, If any	4. Transac Code (ii 8)	ls, varion	5. Num of Deriv Secu Acqu (A) o Disp of (D (Instrand)	vative urities uired or osed i) r. 3, 4	Date Exercisable 11/28/2007 11/26/2008 10/31/2009 12/01/2010 11/30/2011	Expiration Date 11/28/20 11/26/20 12/01/20 11/30/20	20 22 23	7. Title and Amount of Securities Underlying Derivative (Instr. 3 and Title Class A Common Class	Amour of Number of Shares 1,538 1,500 1,125 1,500 1,500 1,500	8. FD Der Sac (Ins	ivative urity	of derivative Securities Securities Beneficial Owned Following Reported Transactic (a) (Instr. 4	D D D D D	of Indire Benefici Owners
Option to Buy ⁽²⁾ SAR ⁽¹⁾ SAR ⁽¹⁾ SAR ⁽¹⁾ SAR ⁽¹⁾	\$36.67 \$42.45 \$35.12 \$26.66 \$36.86	3. Transaction Date	3A. Deemed Execution Date, If any	4. Transac Code (ii 8)	ls, varion	5. Num of Deriv Secu Acqu (A) o Disp of (D (Instrand)	vative urities uired or osed i) r. 3, 4	Date Exercisable 11/28/2007 11/26/2008 10/31/2009 12/01/2010 11/30/2011 11/30/2012	Expiration Date 11/26/20 11/26/20 12/01/20 11/30/20 11/30/20 11/27/20	20 22 23 234	7. Title and Amount of Securities Underlying Derivative (Instr. 3 and Common Class A Common	Amour of Number of Shares 1,538 1,500 1,125 1,500 1,500 1,500	8. FD Der Sac (lins)	ivative urity	of derivative securities Securities Beneficial Owned Following Reported Transactic (a) (Instr. 4	D D D D D D D D D D D D D D D D D D D	of Indire Benefic Owners

Explanation of Responses:

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- 1. Stock bonus granted under the Moog Inc. 2014 Long Term Incentive Plan.
- 2. Option to buy granted under the 1998 and/or 2003 Incentive Stock Option Plan.
- 3. Stock Appreciation Rights (SAR) granted under the 2008 Incentive Stock Option Plan.
- 4. Stock Appreciation Rights (SAR) granted under the Moog Inc. 2014 Long Term Incentive Plan.

Remarks:

Timothy P. Balkin, as Power 11/17/2016 of Attorney for Brian J. <u>Lipke</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.